

Classifying Ash Cloud Attributes of Eyjafjallajökull Volcano, Iceland, using Satellite Remote Sensing

by

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To Mom and Dad, thank you for encouraging my curiosity.  
To Reilly, Kaylee, and Juniper, thank you for knowing exactly when I needed to play.

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## Abbreviations

AOT	Aerosol Optical Thickness
AVHRR	Advanced Very High-Resolution Radiometer
BTD	Brightness Temperature Difference
CALIPSO	Cloud-Aerosol LiDAR and Infrared Pathfinder Satellite Observations
COPD	Chronic Obstructive Pulmonary Disorder
DN	Digital Number
EARLINET	European Aerosol Research LiDAR Network
GIS	Geographic information system
GISci	Geographic information science
IDW	Inverse Distance Weighted
IASI	Infrared Atmospheric Sounding Interferometer
InSAR	Interferometric Synthetic Aperature Radar
LiDAR	Light Detection and Ranging
RBF	Radial Basis Function
RMSE	Root-Mean-Square Error
SEVIRI	Spinning Enhanced Visible and Infrared Imager
SO <sub>2</sub>	Sulfuric Dioxide
SSI	Spatial Sciences Institute
TOA	Top of Atmosphere
USC	University of Southern California
VAA	Volcanic Ash Advisory
VAAC	Volcanic Ash Advisory Centers

VEI Volcanic Explosivity Index

WRF-Chem Weather Research and Forecasting In-line Chemistry

## **Abstract**

Tracking volcanic ash clouds is integral to ensuring the safety of people traveling by air and living on the ground. The explosive eruption of Eyjafjallajökull volcano, Iceland, that began on April 14, 2010, produced a column of steam and ash carried by the jet stream to Europe. In London, the volcanic Ash Advisory Center provided the ash cloud's overall spatial extent across Europe but was unable to provide localized forecasts or details such as cloud density. This eruption forced the most prolonged suspension of air travel in Europe since the second world war with significant cost to airlines and travelers.

High temporal, multispectral thermal satellite imagery from the MODIS instrument provides a platform for tracking eruptive materials. This study uses the brightness temperature difference between MODIS thermal bands to track the ash cloud for each day of the eruption. Unsupervised classification is used to determine the spatial extent of the ash cloud. Measurements from the ground-based EARLINET Lidar network are used to compare the results derived from MODIS imagery. Interpolations based on the EARLINET Lidar data are comparable to the MODIS classification but may not be economical for developing regions.

# Chapter 1 Introduction

Volcanic eruptions produce many risks to human populations, including operational and safety issues for air traffic. The 2010 eruption of Eyjafjallajökull volcano, Iceland, was an explosive Plinian style eruption that produced an eruptive ash column reaching heights of 8 – 10 km as measured by a weather radar station in Iceland (Gudmundsson et al. 2012; Gasteiger et al. 2011; Langmann et al. 2012). These types of eruptions constitute a source of risk for air travel as fine ash particles can re-melt inside jet engines and cause engine failure. An example of this occurred during the 1989 – 1990 eruption of Mount Redoubt when KLM flight 867 had all four engines disabled after encountering the ash cloud (Casadevall 1994). The eruptive volume of the ash plume must be calculated along with the density of ash particles to forecast the risk to air traffic, yet this can be a computationally challenging undertaking (Webley et al. 2012; 2013).

The 2010 eruption of Eyjafjallajökull volcano was modest, with a volcanic explosivity index (VEI) of 6, yet it caused significant disruption to air travel across Europe over the 14th – 25th of April 2010 (Ulfarsson and Unger 2011). Generating daily estimates of the ash cloud's spatial extent can help direct emergency response and reroute flight paths to avoid the ash cloud's high-density areas. Both ground-based and satellite remote sensing methods can generate these estimates but may not have availability in all parts of the world or for all events. Further, countries with limited funding may be only able to invest in one remote sensing method. A comparison of these methods can be an essential tool in choosing where to place limited funds.

## 1.1. Study Objectives

The research objective of this thesis is to determine how well an interpolation of sparse, ground-based LiDAR measurements can estimate the spatial distribution of the ash cloud released during the 2010 Eyjafjallajökull volcano eruption compared to thermal satellite imagery

using the MODIS instrument on the Aqua and Terra satellites for use in determining air space restrictions.

## **1.2. Motivation**

This work's motivation is to provide insight to the airlines and emergency response managers during volcanic eruptions. During the Eyjafjallajökull eruption, air traffic over Europe was suspended for six days at a considerable economic cost to airlines and travelers. Ulfarsson and Unger (2011) suggest that the last time Europe has seen such a disruption of air travel was during World War II. Ash cloud densities must be below  $200 \mu\text{g}/\text{m}^3$  for aircraft to travel safely through them (Ulfarsson and Unger 2011). A contoured ash thickness map would indicate which air routes, if any, had the potential to remain safely open.

### *1.2.1. Volcanic Health Hazards*

Fine volcanic ash particles and sulfur dioxide ( $\text{SO}_2$ ), even at lower concentrations, pose a health risk to people. Volcanic ash is pyroclastic material 2 mm or smaller in diameter, and fine volcanic ash is much smaller, less than around  $15 \mu\text{m}$  (Horwell and Baxter 2006). Newly erupted ash has jagged edges and may be coated in condensed acids formed from the mixing of gasses such as  $\text{SO}_2$  and water. These particles can irritate the eyes and airways, even of healthy people. According to Horwell and Baxter (2006), ash this size or smaller can cause ailments such as laryngitis, bronchitis, asthma, chronic obstructive pulmonary disorder (COPD), cancer, and silicosis.

The eruption of sulfur dioxide can also pose direct health risks. When  $\text{SO}_2$  mixes with water vapor in the atmosphere, it can form sulfuric acid ( $\text{H}_2\text{SO}_4$ ), among other sulfate compounds. Sulfuric acid is a strong acid and can be particularly damaging to the eyes and lungs.

When a gas cloud of SO<sub>2</sub> is near the ground, as often occurs on Mount Kilauea in Hawaii, it is known as vog or volcanic smog (Tam et al. 2016). Even when erupted higher into the atmosphere, SO<sub>2</sub> can still pose a threat to people if aircraft fly through a cloud of this gas. The SO<sub>2</sub> can be drawn into an aircraft with other air through the passenger air handling systems causing respiratory distress.

Sulfur dioxide poses an indirect health risk when erupted high into the atmosphere. When SO<sub>2</sub> has erupted into the lower stratosphere, it decomposes into sulfuric acid (H<sub>2</sub>SO<sub>4</sub>), which reflects and absorbs incoming solar radiation increasing the albedo of the planet. When the amount of SO<sub>2</sub> released from volcanoes are large enough, average global temperatures drop (Ward 2009). Ward (2009) reports that in the three years after the large Mount Pinatubo, Philippines, 1991 eruption (VEI 6), which injected up to 19 megatons of SO<sub>2</sub>, global average temperatures dropped by as much as 0.5 °C. Similarly, global average temperatures dropped by as much as 0.7 °C after the 1815 eruption of Mount Tambora (Stothers 1984). This eruption was responsible for causing "the year without a summer" in the Northern Hemisphere in 1816, leading to crop failure and famine.

### *1.2.2 Ash Cloud Forecasts*

The Volcanic Ash Advisory Center (VAAC) is responsible for issuing advisories on volcanic ash clouds that may endanger aircraft. The VAAC has nine district offices around the world, including one in London. These offices monitor volcanic eruptions within their districts using satellite imagery, radar, Lidar, and sun photometers to detect and track volcanic ash and other erupted aerosols (Christopher et al. 2012).

Forecasters use meteorological data and attributes of the volcanic eruption, such as plume height and ash particle size, in the NAME dispersion model to generate a forecast for ash cloud

dispersion. During an eruption, the VAAC can forecast a volcanic ash advisory (VAA) up to 18 hours in advance of the ash cloud's arrival (Met Office 2020). One such example of a VAA forecast is shown in Figure 1, as reproduced here from Webley et al. (2010). The forecasted area is indistinct and does not contain information such as ash cloud density or SO<sub>2</sub> gas release.

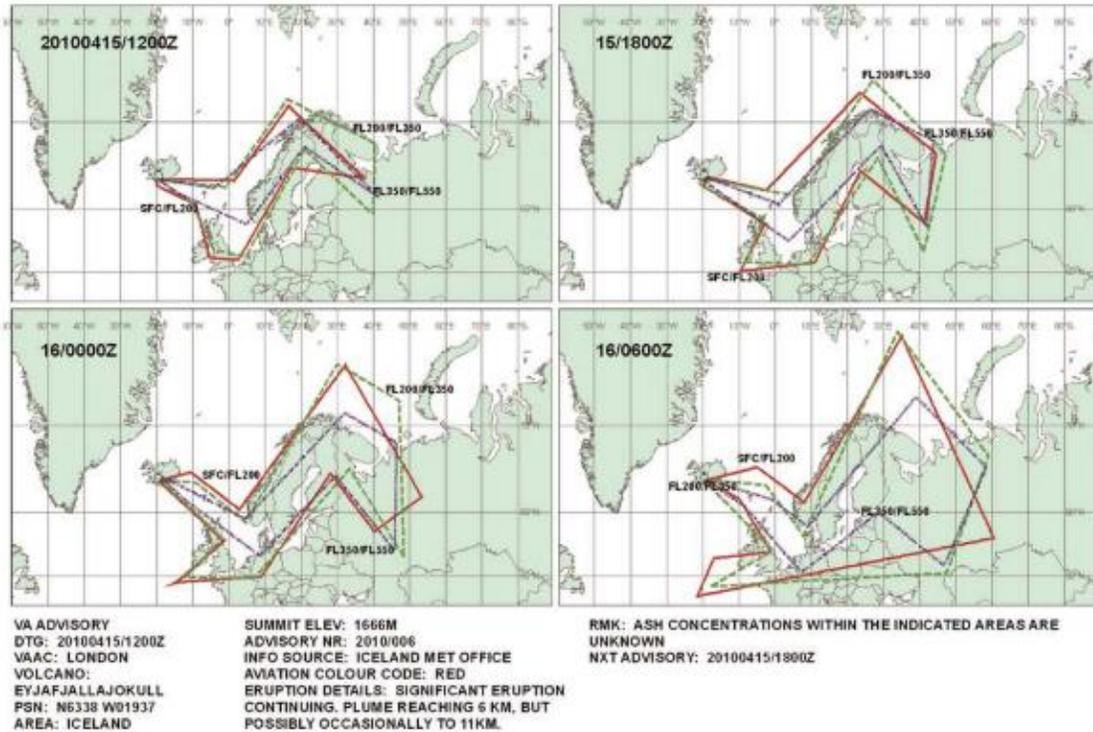


Figure 1. The volcanic ash advisory forecast for April 15 until April 16, 2010.

This thesis may benefit emergency response managers, airlines, and the geologic community. Moderate to large Plinian volcanic eruptions constitute a significant risk to communities, infrastructure, and air travel. Ash and the release of sulfur dioxide (SO<sub>2</sub>) also pose air quality dangers to the public. Understanding the volume of ash from an eruption and how it is

dispersed can guide evacuations and suspension of air travel. Rapid monitoring via remote sensing can provide the guidance needed for these responses.

### **1.3. Study Area**

Situated just east of the mid-Atlantic rift and 77 miles southeast of Iceland's capital, Reykjavik, along Iceland's southern coast, lies Eyjafjallajökull volcano, Iceland, a glacier-covered volcano capable of explosive volcanic eruptions (Francis 1989; Prata 1989). Directly to the east lies the more substantial Katla Volcano. This project's study area, shown in Figure 2, encompasses the Northeast Atlantic, the North Sea, Northern Europe, and the Iberian Peninsula. When the eruptive column reached the polar jet stream's altitude, ash blew into this study area (CIA 2020).

Iceland is a small island country with an area of 103,000 km<sup>2</sup> and a population estimated at 350,000 in 2020 (BBC News 2010). The largest city and capital, Reykjavik, has a population of 122,853 people as of 2016. Half of the entire island population is estimated to live in the area surrounding the capital (Hall et al. 2015). The remaining population lives along the coastline.

The island was formed primarily formed from volcanic processes. The island is situated atop a mantle plume, also known as a hot spot. A hot spot brings significant volumes of mantle material to Earth's crust, that can melt and eventually erupt (Gudmundsson et al. 2012). The divergent tectonic plate boundary formed by the mid-Atlantic rift transects the island from the southwest, near Reykjavik, across to the northeast. The island has 32 volcanoes. Of these, 18 have been active since human settlement began in the area over 900 years ago (NASA 2020; Jenner 2017).

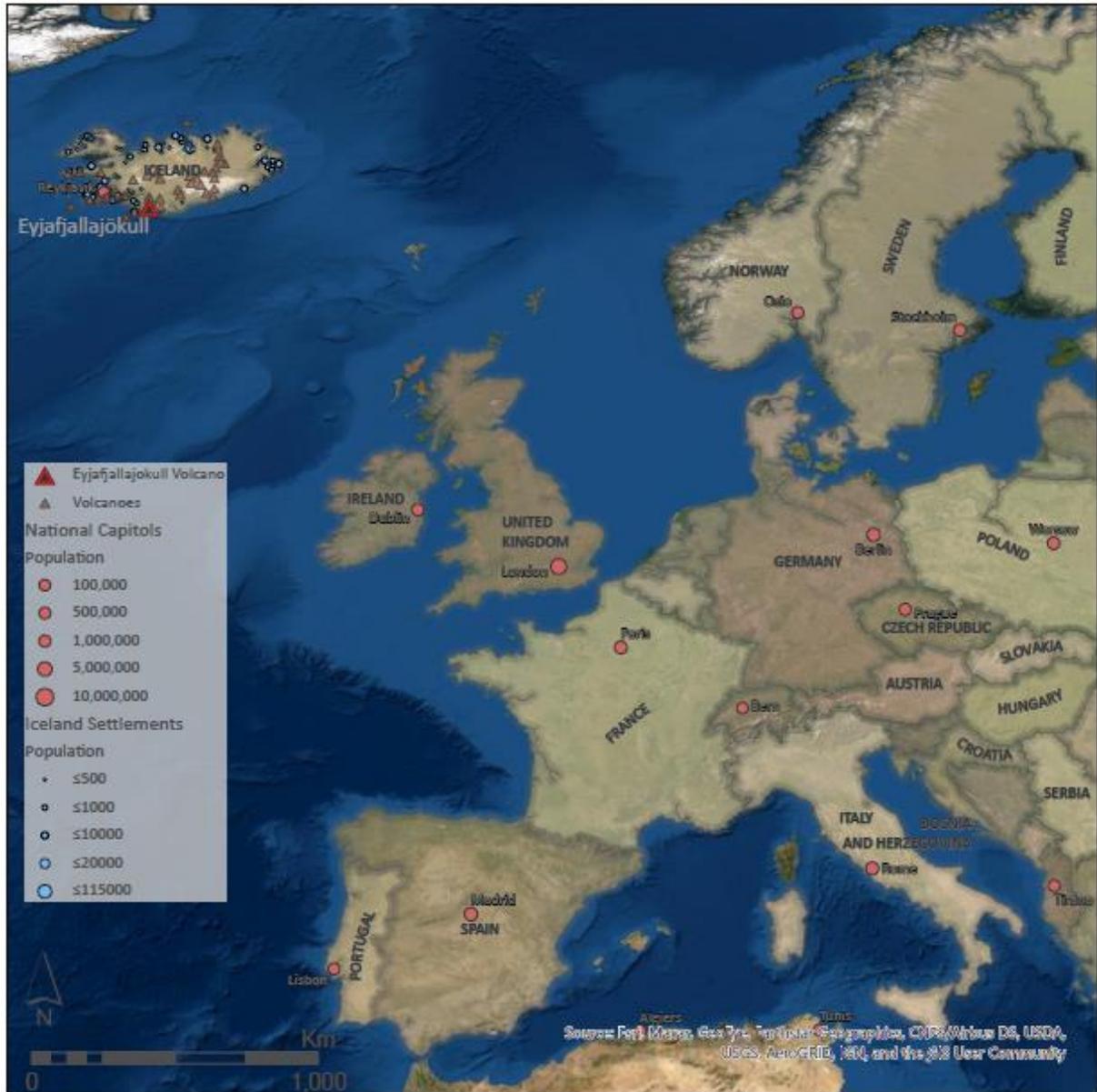


Figure 2. The study area showing Iceland, central European counties, and the location of the Eyjafjallajökull volcano.

The magmatic provenance is primarily composed of mafic magma with similar effusive eruptions, such as those found in Hawaii. However, explosive eruptions are not uncommon for Icelandic volcanoes. Thordarson and Höskuldsson (2008) point out that Iceland has produced some of the largest explosive eruptions to affect continental Europe. Many of Iceland's

volcanoes, including Eyjafjallajökull, are covered with glaciers. These thick blankets of ice can cause even effusive eruptions to become explosive, steam-driven, phreatic eruptions when lava causes the ice to flash into steam.

The explosive phase of the Eyjafjallajökull eruption, which began on April 14, 2010, formed in the crater directly under the glacier bearing the same name (Sigmundsson et al. 2010; Pedersen and Sigmundsson 2004). Meltwater flooded nearby rivers in the form of a jökulhlaup and forced the evacuation of 800 people (Langmann et al. 2012; Francis 1989; Francis and Rothery 2000). The meltwater mixed with the erupting magma to create an explosive style eruption.

The North Atlantic Polar Jet Stream is a current of fast-moving air circumnavigating the polar regions at the top of the troposphere, at an altitude between 7-12 km (Christopher et al. 2012; Francis, Cooke, and Saunders 2012). This current of air flows from west to east in an undulating pattern near 60°N latitude. The Eyjafjallajökull ash cloud was transported by the polar jet stream when it rose to altitudes of 8-10 km (Jenner 2017).

## Chapter 2 Literature Review

The following sections explore different remote sensing techniques for observing volcanic ash clouds, the current state of dispersion forecasts, and the effects of ash and sulfur dioxide on aircraft and at ground level. A significant volume of work has been written about the 2010 eruption of the Eyjafjallajökull volcano. Specific case studies of remote sensing techniques, forecast modeling, and ground-based Lidar are examined here and form the basis for the methods used in this study.

### 2.1. Remote Sensing of Volcanic Ash

Remote sensing of volcanic ash clouds is an essential tool for assessing the hazards posed by an eruption. Remote sensing of ash clouds can refer to several different technologies. Multispectral imagery is widely used for ash cloud detection (Maccherone and Frazier 2014). Satellite missions such as Landsat 8, Terra, and the Advanced Very High-Resolution Radiometer (AVHRR), among others, provide moderate to high-resolution imagery in a wide range of spectral bands with data freely available to the public (Massonnet and Feigl 1998).

Satellite and ground-based Light Detection and Ranging (LiDAR) instruments use laser backscatter data to detect aerosols such as ash clouds. The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) instrument provides spaceborne LiDAR data while the European Aerosol Research LiDAR Network (EARLINET) is a ground-mounted LiDAR network providing LiDAR backscatters for Europe. Multispectral imaging and LiDAR both represent direct observation methods.

The final remote sensing technology is Interferometric Synthetic Aperture Radar (InSAR). While InSAR is not used to directly sense volcanic eruptions, it can be used to

determine the total volume of a volcanic eruption when paired with geodetic techniques (Sigmundsson et al. 2010).

Remote sensing methods using satellites have been regarded as the best tools for tracking volcanic ash plumes since the 1990s (Jenner 2017). Volcanic ash is primarily composed of silicate particles ( $\text{SiO}_2$ ) ranging in size from  $0.1 \mu\text{m}$  to  $1.2 \mu\text{m}$  (Maccherone and Frazier 2014). Prata (1989) used the radiative transfer model to show that ash particles increasingly absorb infrared radiation between  $10 \mu\text{m}$  and  $13 \mu\text{m}$ , and absorb infrared light more strongly at  $12 \mu\text{m}$  than at  $10.8 \mu\text{m}$ . Prata (1989) chose these wavelengths as they correspond to the midpoint of the thermal bands 4 and 5 of the AVHRR, which also encompass the  $10 \mu\text{m}$  to  $13 \mu\text{m}$  range determined from the radiative transfer function. Prata (1989) additionally observed that this increasing absorption by ash particles was the opposite pattern of absorption occurring in water particles. When the brightness temperature difference (BTD) is calculated between these two wavelengths, ash particles produce a strongly negative value, and water particles produce positive values. In this way, ash clouds are distinguished from water clouds.

Building on Prata's 1989 work, Francis et al. (2012) test four classification variations using two and three-channel BTD but using the Spinning Enhanced Visible and Infrared Imager (SEVIRI) instrument. For the Eyjafjallajökull eruption, Francis et al. (2012) test the difference between  $10.8 \mu\text{m}$  and  $12 \mu\text{m}$  with the incorporation of a difference term at  $8.7 \mu\text{m}$ , suggesting that as Sulfur dioxide ( $\text{SO}_2$ ) has a strong absorption at this wavelength, the resultant delineation of the ash cloud from water cloud is more pronounced. Dubuisson et al. (2014) confirm that BTD is the standard ash cloud classification in their work comparing SEVIRI, MODIS, and IASI (Infrared Atmospheric Sounding Interferometer) data for the portion of the Eyjafjallajökull eruption occurring on May 6 2010; a date chosen as data from all three instruments is available.

### *2.1.1. MODIS Instrument Package*

Volcanic eruptions occurring over multiple days are best studied with satellite instruments that have a high temporal resolution. The Moderate Resolution Imaging Spectroradiometer (MODIS), launched in 1999 on the Terra and Aqua satellites, provides high spatial and temporal resolution. The purpose of the MODIS mission is to image every point of Earth every 1-2 days in 36 bands with bands 20 – 36 devoted to near- and mid-infrared wavelengths specific to atmospheric studies (Massonnet and Feigl 1998). The MODIS instrument's spatial resolution ranges from 250 m to 1 km, making it ideal for regional atmospheric studies. Each MODIS image consists of a 5-minute orbital swath and a maximum scan width of 2,330 km. The instrument can collect data in the emissive bands 20 – 36 at any time (Sigmundsson et al. 2010).

### *2.1.2. InSAR Remote Sensing*

Interferometric Synthetic Aperture Radar uses the difference in the phase of the waves of two Synthetic Aperture Radar images separated by a time interval of days to years. The resulting interferogram can be used to measure the deformation of Earth's crust at the millimeter level (Amato et al. 2019). In this method, a volumetric change in the volcano itself is determined using continuous GPS measurements on and around the volcano alongside the spatial extent of deformation inferred from the InSAR data (Amato et al. 2019; Langmann et al. 2012; Wiegner et al. 2012). The volcano must be observed before and after the eruption with pre-and post-eruption volumes calculated. The eruptive volume is the difference in the pre and post-eruption volumes. While a robust estimation of the eruptive volume can be achieved, it comes at the cost of requiring a significant amount of time to generate. This method is not suitable for forecasting

risks of eruptive ash clouds, even though several forecast models need accurate eruptive volume estimates.

## **2.2. Ground-Based LiDAR**

The European Aerosol Research LiDAR Network (EARLINET) is a network of 31 ground-based LiDAR stations distributed across Europe in operation since 2000. The goal of EARLINET is to continuously monitor aerosols in three dimensions (Amato et al. 2019). The processed data consist of aerosol extinction values, backscattered LiDAR detections, and particle depolarization ratios

EARLINET backscatter data is available in three wavelengths at 355 nm, 532 nm, and 1064 nm (Webley et al. 2012). Wiegner et al. (2012) suggest that the backscatter signal for the Eyjafjallajökull ash-cloud is strongest at 1064 nm. Stations within EARLINET usually make measurements every few days. In anticipation of the arrival of the ash-cloud from Eyjafjallajökull, the measurement cadence increased to once per hour (Maccherone and Frazier 2014).

The spatial extent of the 2010 Eyjafjallajökull ash plume measured across this network provides a comparison to previously mentioned remote sensing methods as well as the satellite-based CALIPSO LiDAR instrument (Langmann et al. 2012; Wiegner et al. 2012). Webley et al. (2012) use time-height cross-section data from the EARLINET as a comparison for the Weather Research Forecast coupled with Chemistry (WRF-Chem) model and found some agreement between the model and the EARLINET observations.

## **2.3. Eruption Cloud Forecasting**

Forecasting an ash cloud's dispersal within hours to days is vital to the aviation community and to governmental agencies responsible for mitigating hazards such as sulfur

dioxide and ash posed by volcanic eruptions. Forecasts, in the form of volcanic ash advisories, are released by Volcanic Ash Advisory Centers (VAAC) around the world (Prata 1989; Dubuisson et al. 2014; Francis, Cooke, and Saunders 2012). There are many ash- cloud dispersion models, such as the Weather Research and Forecasting In-line Chemistry model (WRF-Chem).

These models are similar in that they require numerical weather prediction alongside ash-plume height and particle size distribution. Webley et al. (2012) also suggest that it is essential to estimate accurately the eruptive mass, such as can be calculated from the eruptive volume. Another distinctive feature of forecast models is the need for significant computing power. Webley et al. (2012) report a requirement of 96 CPU-cores to achieve model run times under half an hour.

The ash-cloud forecast maps, shown in Figure 1, released by the London VAAC and reported in Webley et al. (2012) for April 14 and 15, 2010, are jagged, Europe-sized areas. These forecast maps do not provide information on areas of high or low particle density. While general warnings about air pollution based on these forecasts can be released to the public, areas of heightened risk due to a higher density of ash particles may not be adequately warned.

Out of well-placed caution, aviation authorities used these forecasts to suspend air-travel across Europe. The WRF-Chem model results shown in Figure 3 indicate that some portions of the airspace had the potential to remain in service, particularly in Britain and Southern Europe on April 16-17, 2010 (Webley et al. 2012).

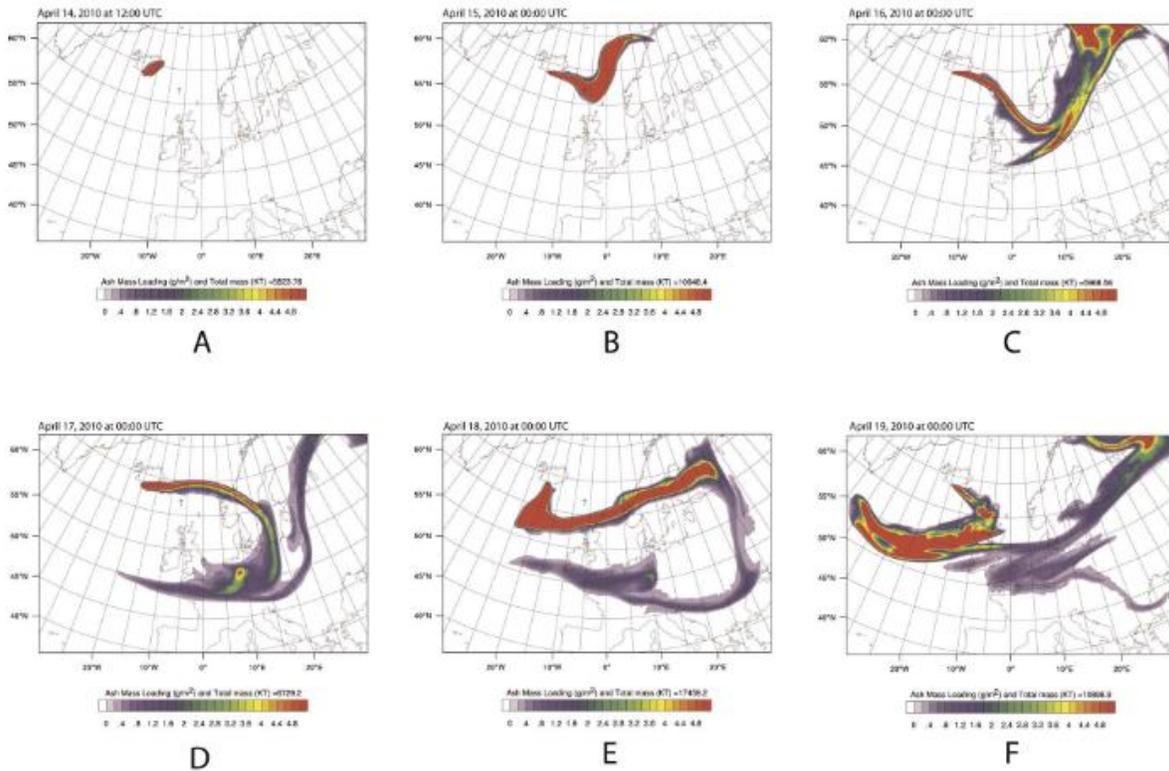


Figure 3. Time snapshots of ash mass loading produced by the WRF-Chem model reproduced from Webley et al. (2012).

## 2.4. Volcanic Effects on Aircraft

Aircraft, regarded as a safe form of travel, are susceptible to the fine ash and  $\text{SO}_2$  gas erupted by volcanoes. The jet engines of modern aircraft operate at temperatures that can reach 1,200 – 2,000 °C. These temperatures are hot enough that volcanic ash entering the engine's front can re-melt and stick to surfaces within the engine (Song et al. 2016). Jet engines consist of many turbfans rotating at high speed in different directions. Molten ash on even one of these parts can cause it to be unable to turn. Song et al. (2016) also point out that molten ash can clog fuel injector nozzles. These effects result in the engine failing. When enough engines fail, the result is a catastrophe.

Engine failure is not the only dangerous effect aircraft face from volcanic eruptions. The 1989-1990 eruption of the Redoubt volcano in Alaska affected seven flights that ranged from Anchorage, Alaska, to west Texas (Casadevall 1994; Casadevall, Delos Reyes, and Schneider 1996). Newly erupted volcanic ash is slightly electrically charged and often contains condensed sulfuric acid. According to Casadevall (1994), one plane encountered electromagnetic effects in the form of St. Elmo's Fire from ash accumulating on the plane. The same plane experienced pitting of the windscreen and leading edges. While the plane could make a safe landing and no parts required replacing, KLM Flight 867 was not as fortunate. Casadevall (1994) describes that this flight not only lost all four engines, eventually regaining two, but that the cabin of the aircraft filled with smoke and sulfurous gasses from the eruption. After landing, it was discovered that ash had been able to penetrate the plane's baggage and habitable areas and that the air handling filters had been overwhelmed with ash. The aircraft's exterior, including all windows and flight control surfaces, had been extensively damaged by abrasive ash particles. The flight landed in Anchorage with no fatalities (Casadevall 1994).

## **Chapter 3 Methods**

This chapter outlines the sources of data and methods that were used for this study. The first section describes the satellite remote sensing products used. A description of the LiDAR interpolation, along with the methodology of comparison to MODIS imagery, is provided. Finally, the last section describes how the airline route data compares with the remote sensing data to generate a list of affected airports and routes.

### **3.1. Data Sources**

Data for this project come mainly from NASA's MODIS instrument on the Terra satellite, the ground-based EARLINET Lidar network, and the Openflights.org website. These three sources are described in Table 1.

Table 1. Data used in this project.

Data Set	Source	Description	Purpose	Data Type	Bands	Spatial Resolution	Temporal Resolution	Size on Disk	Availability
<b>MODIS Satellite Remote Sensing Data</b>	NASA MODIS Terra and Aqua Imagery	MODIS Level 1B Calibrated Radiances for all 36 MODIS bands 1 km resolution	The primary data product used to calculate eruptive volumes, densities, and spatial extent of the eruption	GeoTiff Raster files	29: 8.4 -8.7 $\mu$ m 31: 10.8 – 11.3 $\mu$ m 32: 11.7 – 12.3 $\mu$ m	1 km	1 / day	47 GB	This data is freely available for download at <a href="https://ladsweb.modaps.eosdis.nasa.gov/missions-and-measurements/science-domain/modis-LOL1/">https://ladsweb.modaps.eosdis.nasa.gov/missions-and-measurements/science-domain/modis-LOL1/</a>
<b>Global Flight Paths</b>	Openflights.org	Origin and destination airport locations used to generate a flight path based on great circles	This data is necessary to determine which flight paths would be affected by the ash cloud	Point data in CSV format		N/A	N/A	<1 GB	This data is freely available at <a href="https://openflights.org/">https://openflights.org/</a>
<b>Terrestrial LiDAR Data</b>	European Aerosol Research LIDAR Network	Database of the horizontal, vertical, and temporal distribution of aerosols across Europe	The satellite remote sensing-based particle densities compared with ground-based particulate measurement	Database of point altitudes describing base and top cloud height			1/hour	<1 GB	This data is available at <a href="https://www.earlinet.org/index.php?id=125">https://www.earlinet.org/index.php?id=125</a>

### *3.1.1. EARLINET Data*

The European Aerosol Research Lidar Network (EARLINET) was established in 2000 to study aerosol climatology. Originally a partnership between 19 universities located amongst 11 countries, the network has grown to include more than 30 instruments (Amato et al. 2019; Madonna et al. 2015). Instruments participating in EARLINET collect data in three wavelengths comprised of an ultraviolet wavelength at 351 nm, a green wavelength at 532 nm, and a thermal wavelength at 1064 nm. Both ceilometers and multiwavelength Raman Lidars are used within the network to produce Raman Backscatter profiles of the atmosphere above the instrument. While each instrument can collect data in a near-continuous timeframe, in usual conditions, each station often operates for about 1 hour each week (Amato et al. 2019; Madonna et al. 2015).

The EARLINET project provides specially prepared datasets for atmospheric events such as the 2010 Eyjafjallajökull eruption. These analyzed data products are available in the form of a database with tables indicating which stations were included in the analysis with the dates, times, and location of observations; the Raman backscatter values with the wavelengths used in the analysis; and the altitudes of the base, top, and center of mass of the volcanic cloud. This database contained data from 24 stations throughout Europe, shown in Figure 4, which operated between April 15, 2010, and May 26, 2010. Nineteen of the stations operated between April 15, 2010, and April 26, 2010. The data capture at some stations such as Hamburg, Germany, was nearly continuous, with measurements occurring over one-hour time intervals for over twelve hours a day. Many stations operated for only a few hours each day, while others had irregular time intervals.

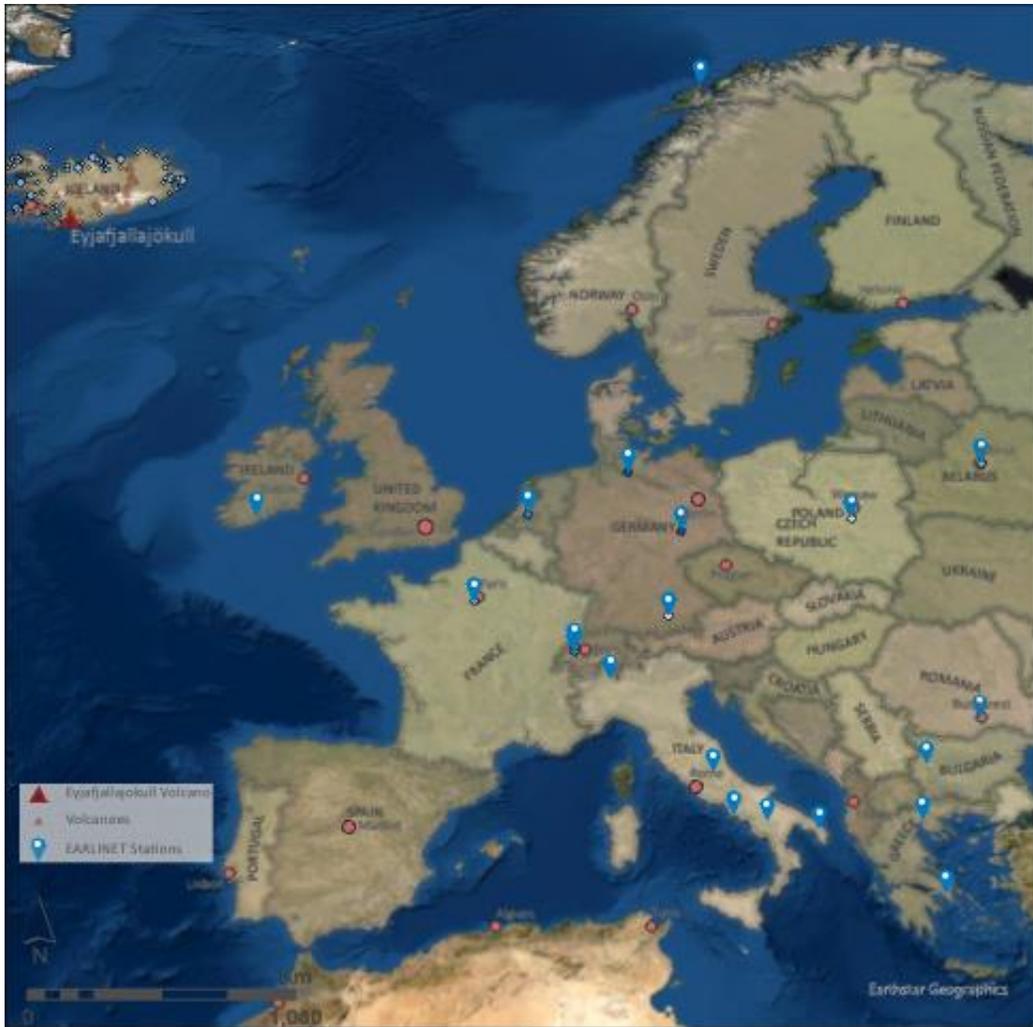


Figure 4. The EARLINET stations used in this project.

### 3.1.2. MODIS Data

The MODIS instrument is ideal for this study because of its high temporal resolution, synoptic coverage, and available spectral bands. This imagery can be cumbersome and time consuming to prepare for analysis. The MODIS data set for the entire 11 days of the study with all bands requires 47 GB of storage for the several hundred files. The EARLINET data set, by contrast, is considerably smaller, requiring less than 1 GB of disk space. Reducing the number of

days of satellite imagery examined and the number of bands included reduces both the disk space requirements and the processing time required for the MODIS data.

Three days of MODIS data were chosen at the beginning, middle, and end of the eruptive period to match the dates of measurements made by the EARLINET LiDAR network. These dates were April 17, 2010, April 21, 2010, and April 24, 2010. Each of these days had 6-8 scenes with a morning and an evening orbital pass. Bands 29, 31, and 32 were of interest for this study, and GeoTIFF files were acquired for each band in each scene. Ultimately, 123 files were downloaded for these three days.

### **3.2. EARLINET Processing Overview**

The EARLINET time-height backscatter data can be interpolated between stations in the network to provide an estimate of the spatial extent and density of ash within the ash cloud. The EARLINET project provided a database of cloud base and top altitudes, along with the cloud altitude center of mass, for each of the twenty-four stations that made measurements during the eruption.

For each station within the EARLINET network, the thickness,  $L$ , of the ash layer was measured from the difference between the cloud base and top altitudes. This point data was used within ArcGIS Pro to generate interpolated surfaces for the cloud thickness between the stations using Inverse Distance Weighted (IDW), Spline, and Kriging interpolations. The interpolations were used to derive the thickness of the ash cloud between measured points. However, the mass loading,  $M$ , could not be calculated because the EARLINET database did not provide aerosol optical thickness information. Thus, a contoured raster of cloud density could not be estimated. This workflow can be seen in Figure 5.

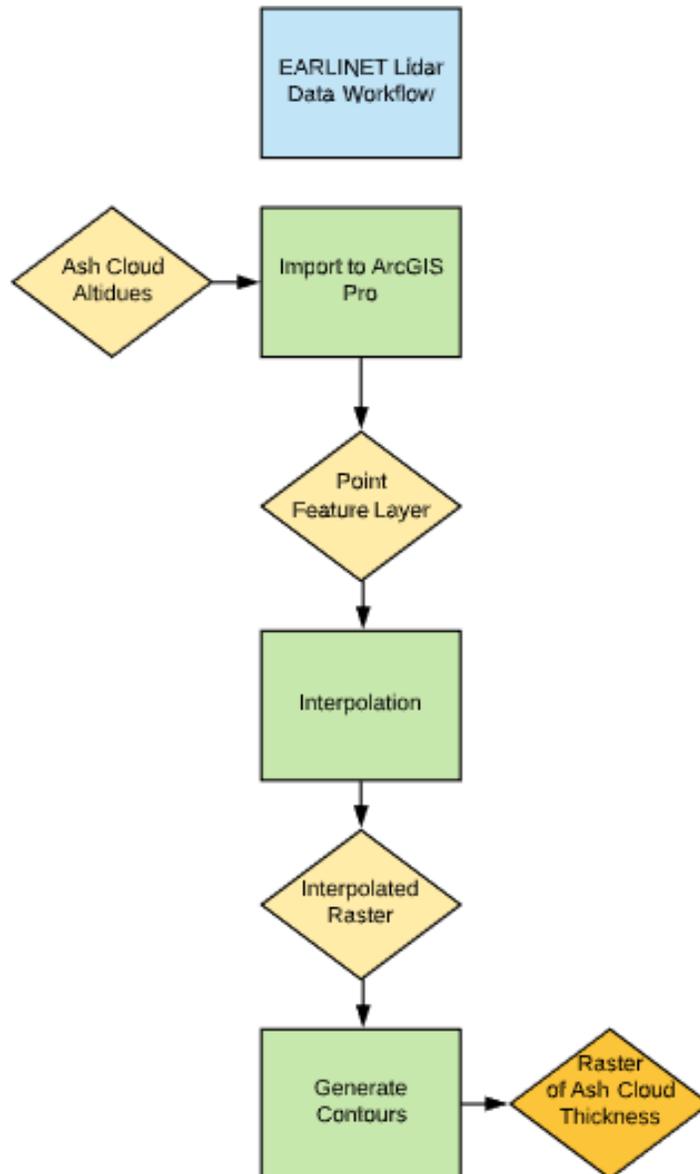


Figure 5. The workflow for processing EARLINET data.

### 3.2.1. Database Manipulation

The MySQL database provided by the EARLINET project contained four tables of data that were not easily readable nor spatially formatted for use within ArcGIS Pro. The four tables

were joined on the primary key into one table, which was then exported as a comma-separated-values text file. This text file was then imported into ArcGIS Pro as a feature layer.

The initial feature layer contained data for the entire eruption period and needed to be separated by day for further examination. Each day of data was selected for and saved into a separate feature layer. In comparing the sites available for each day, it was discovered that not all sites collected data on all days. An average of ten of nineteen sites collected data on any given day. The sites active on each day are given in appendix A. A further complication arose when the sites each collected data over a period of an hour; rarely did their collections start simultaneously or cover the same hours.

### *3.2.2. Daily Interpolations*

These complications within the EARLINET dataset made it challenging to create ash cloud thickness interpolations that could be matched to the MODIS scenes. Choosing even four-hour intervals reduced the number of sites available for interpretation to fewer than five in many instances. The variance in cloud thickness over a site day's measurements was small, on the order of a few hundred meters. The small variance allowed for a daily average ash cloud thickness to be generated for each site. Using a daily average in this way allowed for larger areas to be interpolated and all the stations that collected data for a day to be included in the interpolation.

### *3.2.3. Interpolations Tested*

Several interpolation methods were tested with the EARLINET data to determine their suitability for this project. These methods included inverse distance weighting (IDW), radial basis function with spline (RBF), and Kriging. Inverse distance weighting and radial basis functions are deterministic methods that require the predicted surface to match the measured point values. An IDW interpolation does not allow interpolation values above or below the

minimum and maximum measured values. An RBF interpolation can predict values above and below the measured by a certain amount, and using splines yields a smoother curved surface.

Kriging interpolation is similar to inverse distance weighting in that it uses weights for each measurement point, but it is a far more complex interpolation method. Kriging fits a mathematical function to points of data. It then uses statistical analysis and semivariograms to determine the spatial autocorrelation. Kriging is useful when there is a distance or directional bias in the data. While Kriging was explored for this project, the complexity and a large number of variables made this interpolation method an impractical approach for the limited project completion time.

The three interpolations were tested using data from the same date, April 16, at the beginning of the eruption, which had nine active stations. Both of the IDW and RBF interpolations required at least three nearest neighbors to be included. The results of these two interpolations, shown in Figure 6, show a similar spatial distribution of the ash cloud thickness in the area around Berlin, Germany. Additionally, there are similar, straight-line artifacts near Poland. The cause of these artifacts is unclear and does not appear on other days during the study period.

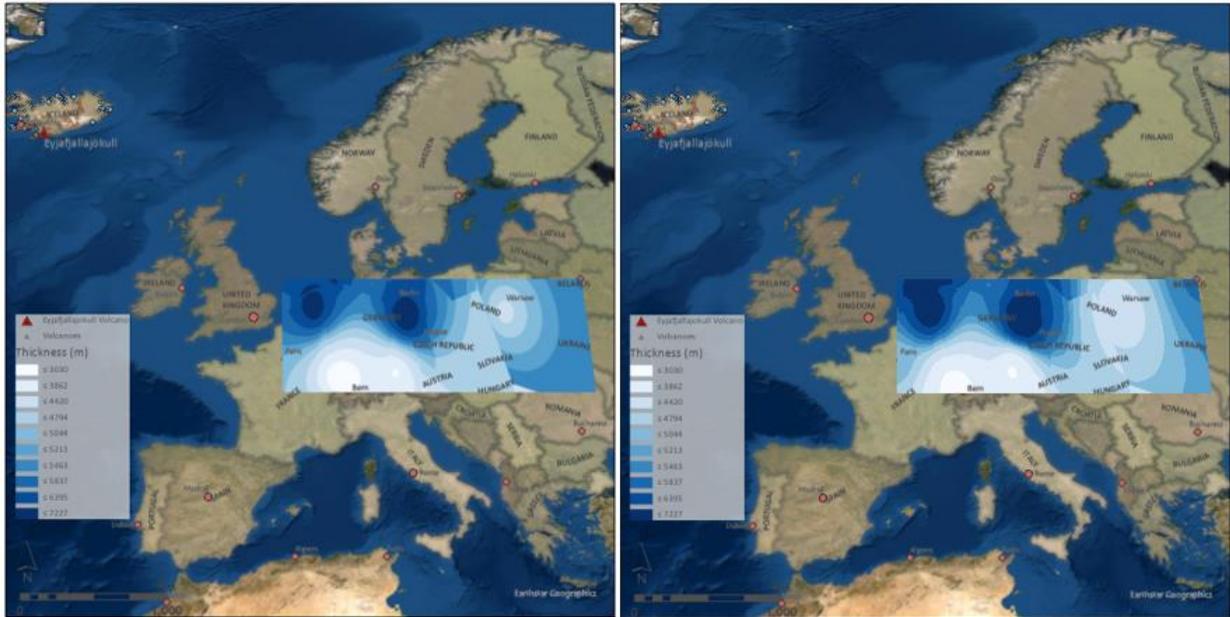


Figure 6. The left image displays the RBF with Spline interpolation, while the right displays the IDW interpolation.

An interpolation test with ordinary Kriging was also performed on the mean of the ash cloud thickness for each site on April 16 2010. This test required at least two nearest neighbors for the interpolation and used a semivariogram with a stable model. The ArcGIS Pro default kriging parameters were primarily used for this test for simplicity to provide a basis for comparison. The results of this test, shown in

Figure 7, differ considerably from the IDW and RBF interpolations. As was previously mentioned, this project's time constraints made this method a poor choice due to the large number of variables to consider when using Kriging.



Figure 7. The results of the kriging interpolation.

#### 3.2.4. Inverse Distance Weighting

Inverse distance weighting was chosen as the interpolation method for this project due to its ease of use and performance. This method takes advantage of the premise that measurements made near each other are more spatially related than measurements made further apart. As an exact interpolation, the minima and maxima of the IDW interpolation must occur at measured points. This results in an interpolation that does not spatially extend past the outermost points in each direction. Each of the nine study days was interpolated using with IDW using the mean ash cloud thickness at each station.

Inverse distance weighting uses weights inversely proportional to the distance between the measurement and prediction points (ESRI 2020a). The power factor governs the rate at which the weights decrease with distance, with higher power factor values decreasing faster than lower values. A power factor of 2 was used for this study to reflect that ash clouds can change rapidly with distance.

Another critical aspect of IDW interpolations is the search neighborhood. The search neighborhood defines how many points must be included in the search and places upper limits on the number of points to include. Due to the small number of points each day contains, the upper limit is less important than the number of points to include as nearest neighbors. Each day processed required at least three nearest neighbors and could include up to fifteen points in a circular search pattern to consider measurements equally in all directions.

### *3.2.5. Cross-Validation*

Assessing the sensitivity of the Inverse Distance Weighting interpolation of EARLINET data provide insight into how well the interpolation estimated ash cloud thickness. The Geostatistical Wizard tool in ArcGIS Pro, through which the IDW interpolation is performed, generates cross-validation results as a final step for any interpolation available through the tool.

Cross-validation is a method that leaves a random point out of the interpolation, calculates a predicted value at the point's location, and then compares the prediction to the measured. An error statistic is generated for each point measurement in this way, and regression statistics were calculated from these errors (ESRI 2020b).

### **3.3. MODIS Processing Overview**

The workflow for MODIS data is shown in Figure 8. This workflow generates a contoured raster image of the ash cloud densities for three days between April 14 and April 25, 2010. Light yellow boxes in the workflow are input and intermediate data products, dark yellow represents final products, and green boxes represent data processing steps.

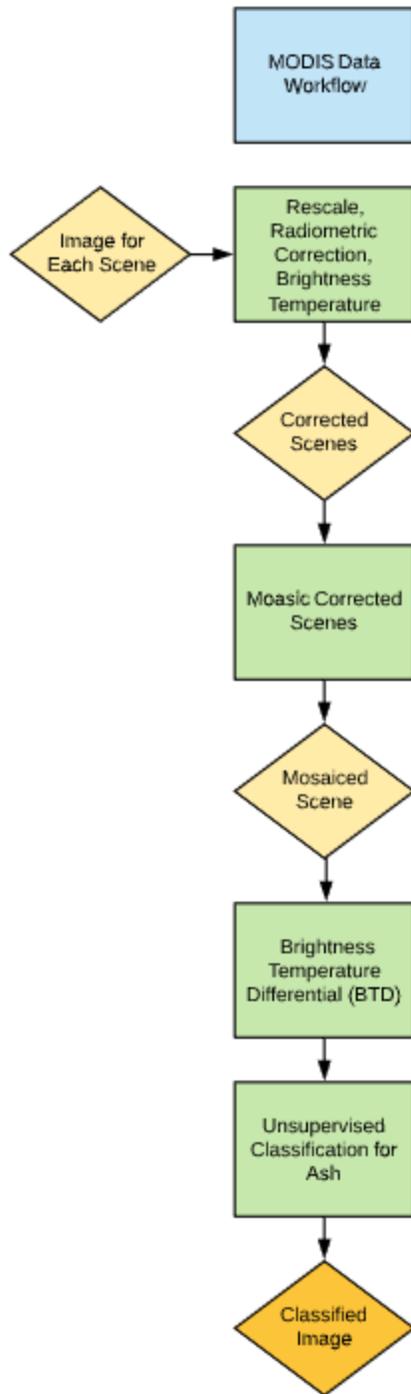


Figure 8. The workflow for the MODIS data.

### 3.3.1. MODIS Data Import and Corrections

The study area for the Eyjafjallajökull ash cloud extends from Iceland to Continental Europe. The TERRA satellite must make several orbital passes to capture multiband scenes of the entire study area (Oguro, Ito, and Tsuchiya 2011). Differences between scenes obtained on different orbital passes can result in artifacts when the images are mosaicked, affecting image classification.

For each of the three days, scenes were downloaded from NASA's LAADS website as GeoTIFF band files that needed to be imported and converted to a raster format. The GDAL importation tool in Idrisi was used to import and convert each file into the Idrisi raster format.

Due to variations in satellite altitude, the X and Y resolution values varied slightly from one raster to another. The Idrisi Project tool was used to set the projections to  $X = 0.00898$  and  $Y = 0.00901$ . Unfortunately, there was no bulk processing capability available for this tool, and each raster needed to be processed manually. Each raster required about five minutes of processing time. With more than 120 raster files to process, this task required over 10 hours of processing time. Any future work using this tool for bulk reprojection should involve the model builder within Idrisi to provide automation support.

### 3.3.2. Radiance Values

The individual raster files for each day and band have non-physical, digital number (DN) pixel emissivity values that are not immediately useable for mosaicking or classification. These DN values must be converted to top of atmosphere (TOA) spectral radiance values prior to mosaicking. Converting the DN values to the TOA spectral radiance ( $L_\lambda$ ) requires the MODIS rescale gain factor  $R_{scale\lambda}$  and the radiance rescaling offset factor  $R_{offset\lambda}$  (Oguro, Ito, and

Tsuchiya 2011). The relationship between these three values is given by Oguro et al. (2011) in Equations 1, 2, and 3.

$$L_{\lambda} = R_{scale \lambda} (DN_{\lambda} - R_{offset \lambda}) \quad (1)$$

$$R_{scale \lambda} = \frac{L_{max\lambda} - L_{min\lambda}}{32767} \quad (2)$$

$$R_{offset \lambda} = \frac{32767 - L_{min\lambda}}{L_{max\lambda} - L_{min\lambda}} \quad (3)$$

where  $L_{\lambda}$  is the pixel spectral radiance in  $W/(m^2 \cdot sr \cdot \mu m)$  for each band,  $L_{min\lambda}$  and  $L_{max\lambda}$  are the minimum and maximum band radiances, respectively, in  $W/(m^2 \cdot sr \cdot \mu m)$ . While  $R_{scale\lambda}$  and  $R_{offset\lambda}$  could be calculated in this way, it is unnecessary to do so as NASA provides these values, shown in Table 2, for each band acquired in the file metadata. The spectral radiance for each scene in each band and each day was calculated using the model builder in ArcGIS Pro using these scale and offset values.

Table 2. Radiance scale and offset values provided by NASA for each of the three bands used in this project.

	<b>Band 29</b>	<b>Band 31</b>	<b>Band 32</b>
	<b><math>W/(m^2 \cdot sr \cdot \mu m)</math></b>	<b><math>W/(m^2 \cdot sr \cdot \mu m)</math></b>	<b><math>W/(m^2 \cdot sr \cdot \mu m)</math></b>
<b><math>R_{scale\lambda}</math></b>	$5.32486942 \cdot 10^{-4}$	$8.40021996 \cdot 10^{-4}$	$7.29697582 \cdot 10^{-4}$
<b><math>R_{offset\lambda}</math></b>	$2.73058350 \cdot 10^3$	$1.57733972 \cdot 10^3$	$1.65822131 \cdot 10^3$

Converting the raster scenes from DN to radiance values is an essential step for generating correctly mosaiced images. When scenes were mosaiced prior to this step, there were far more artifacts from the mosaicking process where the scenes overlapped. This step is also necessary to convert the radiance values into temperature values described further in this chapter.

### 3.3.3. *Image Mosaic*

Once all the scenes for a day have been corrected and transformed, they need to be mosaicked into one large image. Mosaicking joins scenes together, aligning the edges using each scene's geospatial information. The purpose of mosaicking the scenes together into one image is to reduce the number of raster files being processed, speeding up the processing time, and decreasing the final raster size.

Generating the final mosaic images requires first creating empty mosaic rasters. These rasters were created with 32-bit unsigned float values using the Create Raster Dataset tool in ArcGIS Pro. It is necessary to use the 32-bit unsigned float pixel type because of the conversion from digital numbers, which are integers, to scaled radiance values, which become floating-point values when calculated. The newly generated empty rasters were then used as containers for the mosaic operation.

Each day of data contained a morning and an evening orbital pass, which entirely overlapped spatially. To preserve any temporal differences that might occur over a day and prevent issues that may arise from averaging two sets of rasters over the entire study area, a morning mosaic and an evening mosaic for each band and each day were created. Although this increases the number of mosaicked rasters to process, it allows for more comparison with the EARLINET interpolated rasters.

The scenes for each half-day were mosaicked for each of the three bands used in this project. The Mosaic tool in ArcGIS Pro was used to create the 18 mosaicked images. Where the scenes overlapped with each other, the mean between each scene was calculated to blend the scenes and remove noticeable seams. Background values at the edges of scenes were ignored to remove hard lines that would otherwise create straight-line artifacts in the finished mosaic. Color

matching was not performed as any attempts of this resulted in the failure of the mosaic operation.

#### 3.3.4. *Brightness Temperature*

Before the mosaicked rasters can be classified, the radiance values must be converted to brightness temperature values in Kelvin. The conversion from radiance to temperature follows Planck's law for blackbody radiation (Oguro, Ito, and Tsuchiya 2011). The wavelength form of the equation for blackbody radiation is given in Equation 4:

$$T_b = \frac{\frac{hc}{k\lambda}}{\ln(2hc^2 / (L_\lambda \lambda^5 \times 10^{-6}) + 1)} \quad (4)$$

where  $T_b$  is the brightness temperature in kelvin,  $h$  is Planck's constant,  $c$  is the speed of light in a vacuum,  $k$  is Boltzmann's constant,  $\lambda$  is the central wavelength of the spectral band,  $L_\lambda$  is the spectral radiance given in Equation 1.

Calculating the brightness temperature is band dependent, and the numerator does not change between mosaicked images of the same band. A model for the brightness temperature conversion was created for each of the three bands and used to produce mosaicked temperature rasters. These rasters were then used to produce a brightness temperature difference classification.

#### 3.3.5. *Ash Cloud Classification*

Calculating the spatial extent of volcanic ash requires detecting the ash cloud in a remotely sensed scene. Brightness temperature differences (BTD) between two thermal infrared channels are used to distinguish ash particles from water vapor. Particles of volcanic ash strongly

absorb light at a wavelength of 10.8  $\mu\text{m}$  with a lesser absorption at 12  $\mu\text{m}$ ; the reverse is true for water vapor (Emeis et al. 2011). Francis et al. (2012) suggest a three-channel BTD incorporating the level of erupted  $\text{SO}_2$ , which they developed during the 2010 Eyjafjallajökull eruption. A pixel would be classified as containing ash if it meets the requirements of Equation 5, where BT is the brightness temperature of a pixel at the specified wavelength.

$$BT_{10.8} - BT_{12.0} + BT_{10.8} - BT_{8.7} < 1.5 K \quad (5)$$

This brightness temperature difference calculation, when written in terms of the MODIS bands, becomes Equation 6.

$$BT_{band\ 31} - BT_{band\ 32} + BT_{band\ 31} - BT_{band\ 29} < 1.5 K \quad (6)$$

The brightness temperature differential for each day was calculated using Raster Calculator within ArcGIS Pro's model builder. Two BTD rasters per day, one for the morning and one for the evening, were created in this way. These rasters were then classified using a manual interval with 10 classes in the Symbology tool. A red-yellow color scheme was chosen for comparison with the results from Francis et al. (2012).

### **3.4. Flight Path Proximity**

A final purpose in identifying high ash density areas is to determine which portions of the air space are unsafe for aircraft operations. Air routes based on great circles have been constructed using the XY to Line tool within ArcGIS Pro from each airport's locations

connecting to and from airports within the study area. The air routes are then visually compared to the ash distribution.

## Chapter 4 Results

This chapter reviews the key results and comparisons between the ground-based lidar interpolations of ash cloud thickness, the brightness temperature difference method of ash classification, and the effect on flight paths in the region. The following sections present these results in the form of maps and spatial comparisons.

### 4.1. EARLINET Interpolation

An interpolated raster was created for each day between April 16, 2010, and April 24, 2010. An exception to this was the day of April 25, 2010. On that day, there were too few stations to create the IDW interpolation, and the operation failed. Interpolations were successfully created for the other nine days of data. The interpolation operation was quick, and each day required approximately 5 minutes of processing time to complete.

The differences in the area covered from day to day can be quite large due to the nature of the IDW interpolation itself. The IDW interpolator does not generate interpolation estimates beyond the spatial extent of the outermost points. The EARLINET database does not provide enough information on sites that did not provide data on a day to determine if the site was not in operation or did not record a backscatter value for the ash cloud. While including sites that recorded 0 m thickness or no ash in order to generate interpolations with the same spatial extents would have been ideal, the uncertainty of which sites were entirely offline made this impossible.

The daily interpolations show that the spatial distribution of the ash cloud's thickness varied considerably from day-to-day. Figures 9 through 17 show the daily interpolated thicknesses. The area between Germany and the United Kingdom shows consistently thick values greater than 6000 m on most days until April 23, 2010. The station in Berlin, Germany,

experienced ash cloud thicknesses on April 17, 2010, and April 21, 2010, while the station in Warsaw, Poland, consistently reported lower ash cloud thicknesses around 500 m. These values represent the endpoints of the range of values across the interpolations. While these endpoints represent the actual measurements made on those days at those sites, it should be noted that these two sites may be over and under-reporting the cloud base and top altitudes upon which the thickness is derived. Comparison with the MODIS derived spatial extent of the ash cloud can elucidate potential systematic errors in the Lidar measurements.

A spatiotemporal trend in the interpolations is that thicker ash clouds were observed at the beginning of this phase of the eruption, which moved to the south and thinned considerably as the eruption lost intensity on April 23, 2010. The increase in thickness at the Berlin, Germany, station on April 21, 2010, indicates the second influx of ash into this area and agrees with the results found in Augsburg, Germany, by Emeis et al. (Emeis et al. 2011).

The spatial distribution of the thicknesses predicted by the interpolations is also in agreement with the spatial pattern presented in the WRF-Chem results shown in

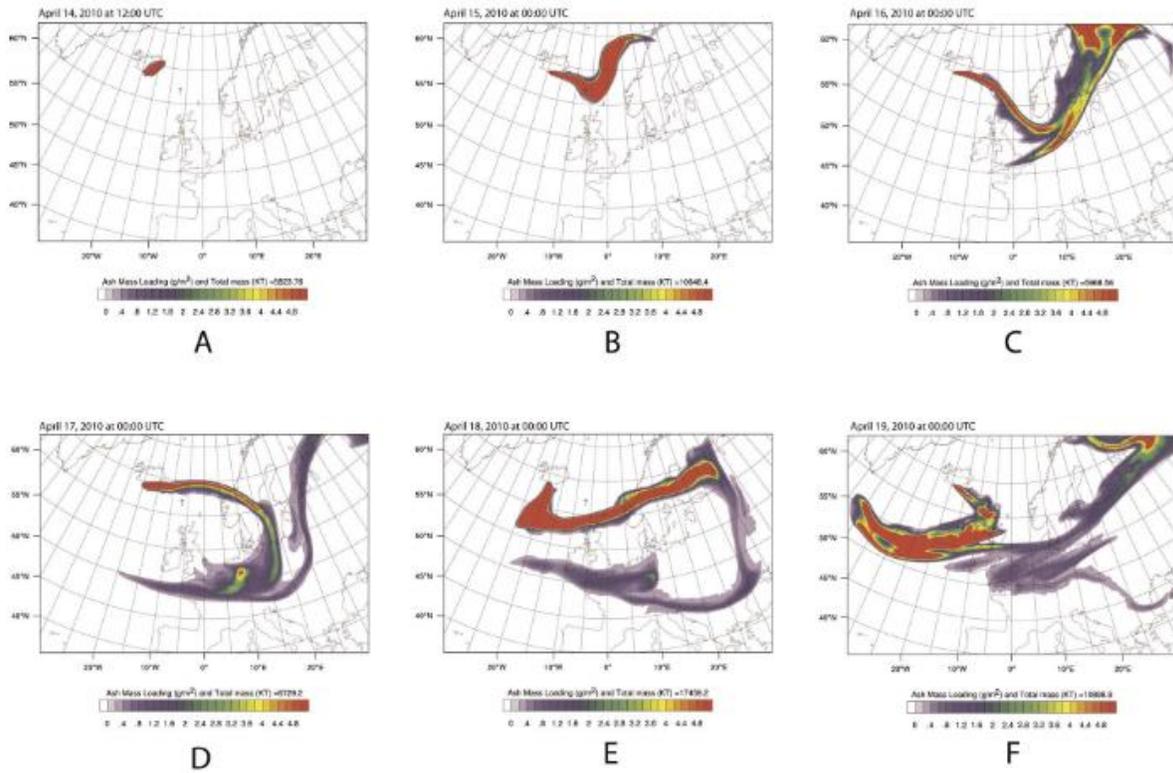


Figure 3. Although ash cloud thickness is not a direct comparison to the mass concentrations reported by the WRF-Chem model, they are relateable. Of particular note is the area of Berlin, Germany, on April 21, 2010, where both large ash cloud thicknesses and mass concentrations are estimated in both models.



Figure 9. IDW interpolation for April 16, 2010.



Figure 10. IDW interpolation for April 17, 2010.



Figure 11. IDW interpolation for April 18, 2010.

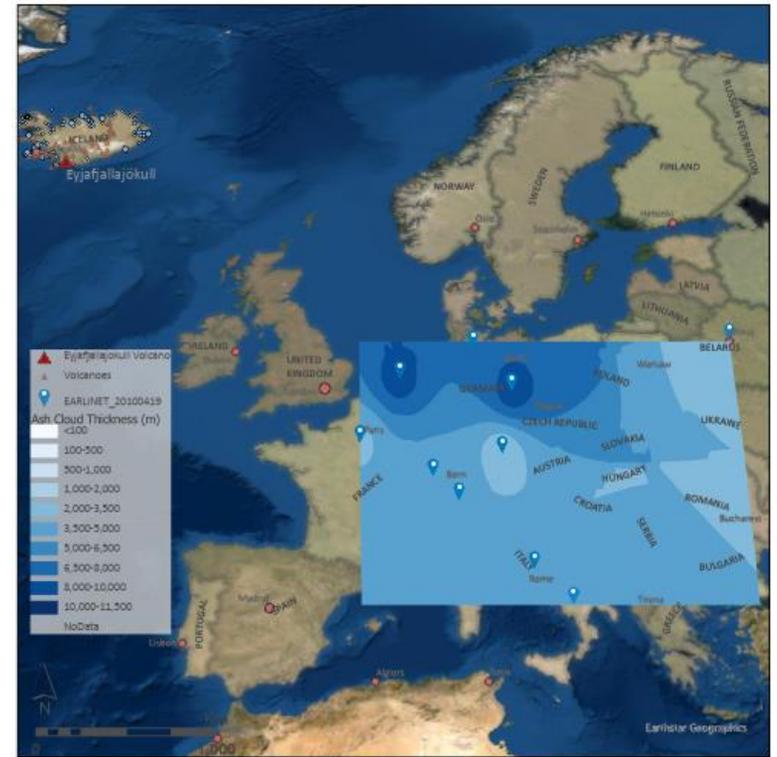


Figure 12. IDW interpolation for April 19, 2010.



Figure 13. IDW interpolation for April 20, 2010.

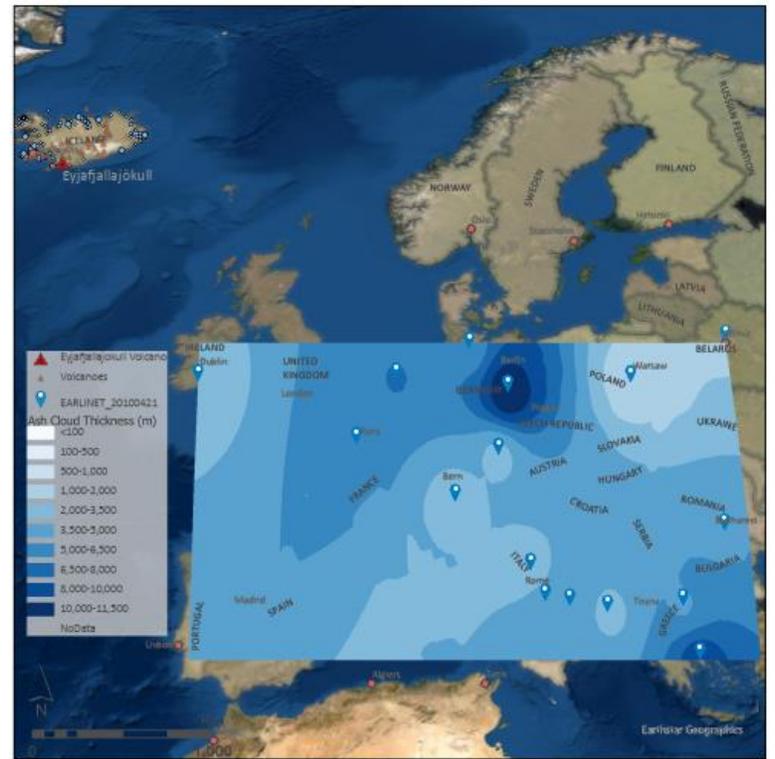


Figure 14. IDW interpolation for April 21, 2010.



Figure 15. IDW interpolation for April 22, 2010.

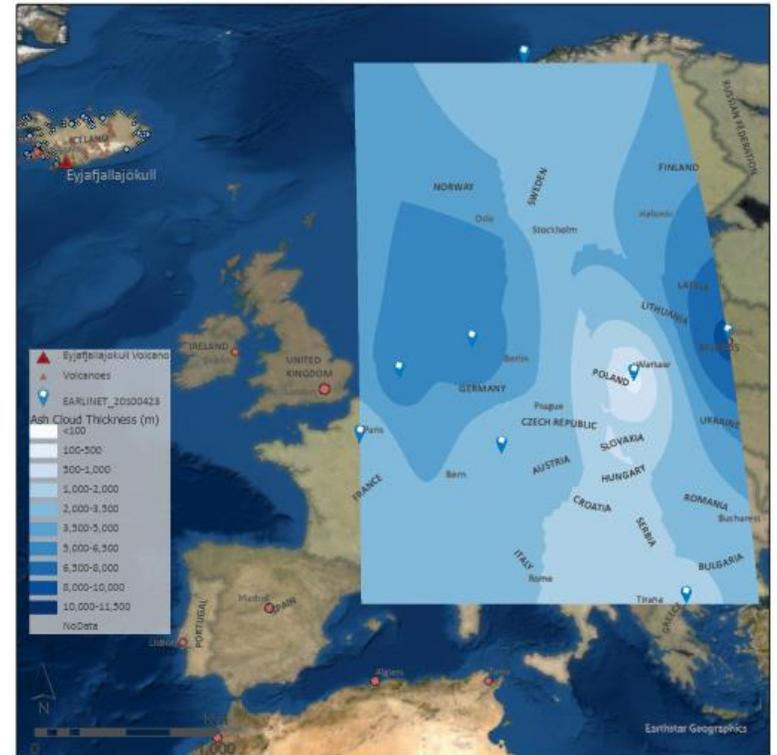


Figure 16. IDW interpolation for April 23, 2010.



Figure 17. IDW interpolation for April 24, 2010.

## 4.2. MODIS Brightness Temperature Difference

A mosaiced raster was created for each band of the morning and evening on April 17, 21, and 24, 2010. The brightness temperature difference (BTD) was calculated for each of these days and classified. Although these values are in Kelvin, it is a misnomer to think of the BTD as units of temperature as units of Kelvin can not be negative. Values in the BTD rasters below 1.5 K are shown in orange and yellow colors in Figure 18– 21, while values above 1.5 K have been made transparent.

Ash release from Eyjafjallajökull volcano, marked as a red triangle, is clearly seen immediately south of the volcano in figures 18 and 19. When compared to the MODIS color composite image from the morning of April 17, 2010, shown in Figure 23, the differences between water vapor and ash cloud become more apparent. An east-to-west streak of ash north of

Scotland becomes apparent, as well as the dispersal of ash into the Scandinavian region of Northern Europe.

In France and Germany, the middle of Europe appears clear of ash on the morning of April 17, 2010, by the evening of this day, ash is clearly detected across all of Germany and the northern regions of France. A significant point of interest is the BTM signal just west of Paris in Figure 22; when this area is compared with the color composite and the interpolation results for this day, it becomes clear that ash may be present in higher concentrations than The BTM ash detection agrees with the interpolation results for this day in this region.

While the BTM in Northern Europe agrees with the interpolation and the color composite results from NASA, the ash signatures in southern Europe, particularly in Spain and Italy, may be caused by dust from the Sahara desert in Northern Africa. Unfortunately, there are no EARLINET measurements recorded on this day for this region, and further analysis is needed to verify the source of this signal.

The BTM results for April 21, 2010, show that ash has dispersed throughout the study area. Although there are subtle variations in BTM value, nearly all results are below the 1.5 K threshold to be classified as ash. The interpolation results for this day show a similar dispersal.

The morning and evening BTM results for April 24, 2010, which are not shown, are unusual in that all BTM pixel values are less than -50 K. This indicates that either ash was uniformly dispersed throughout the region in sufficient concentrations to produce a much brighter thermal image in band 32 or that some issue may exist within the band images themselves.

The MODIS ash classification results on April 17, 2010, shown again in Figure 24, agree well with published classifications for this time period. Gudmundsson et al. 2012 published the

ash classification using SEVIRI data shown in Figure 25; the results for April 14-17, 2010, are shown in green. The ash cloud being erupted and blown directly south of the volcano is present in both classifications. Both classifications also show ash over northern Europe and central France. The SEVIRI classification also shows ash emanating from the volcano being blown in a south-easterly direction, which is not apparent in the MODIS classification. This may be due to the 3 days the SEVIRI classification covers.

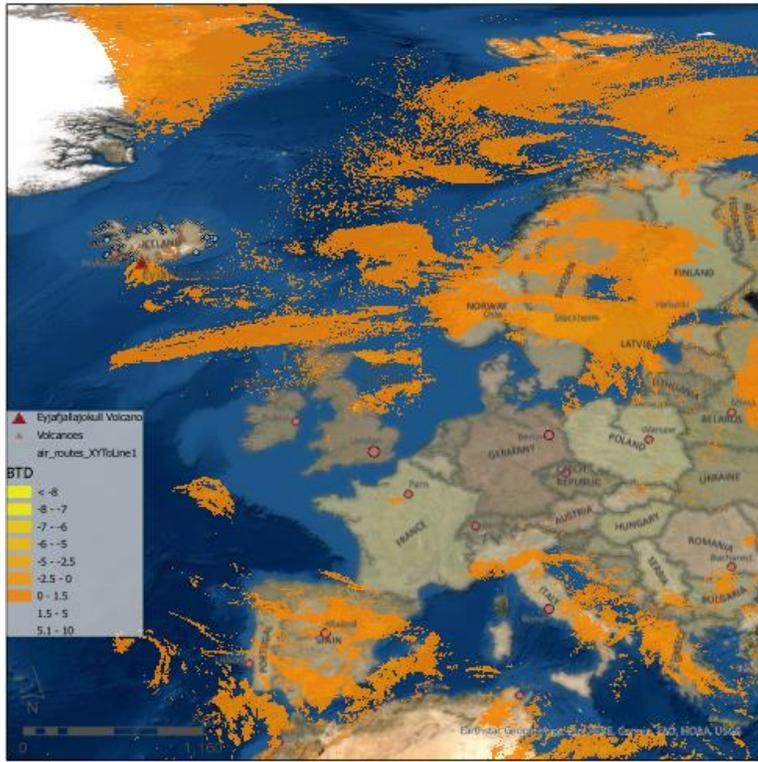


Figure 18. Brightness Temperature Difference for the morning of April 17, 2010.

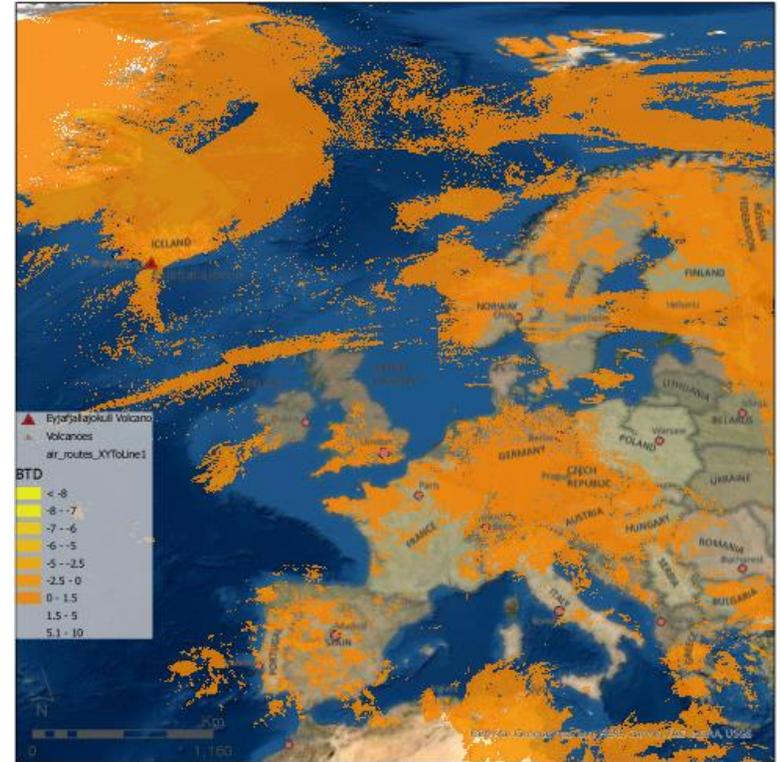


Figure 19. Brightness Temperature Difference for the evening of April 17, 2010.

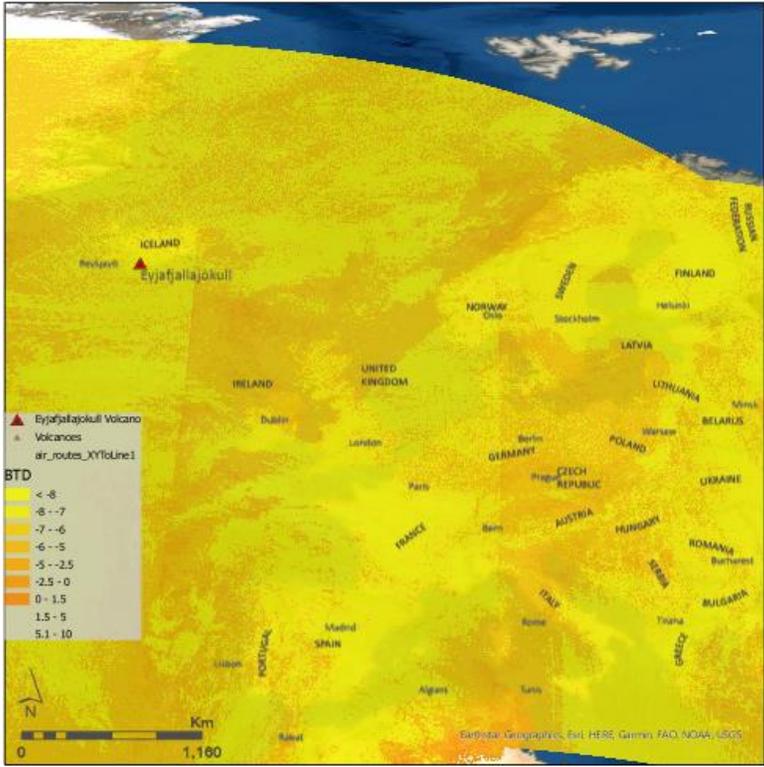


Figure 20. Brightness Temperature Difference for the morning of April 21, 2010.

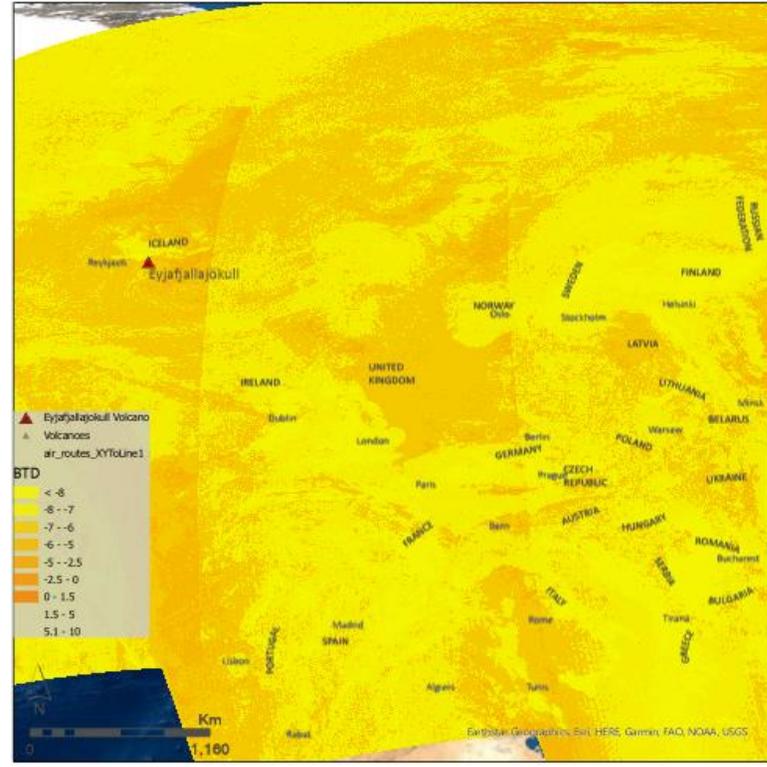


Figure 21. Brightness Temperature Difference for the morning of April 21, 2010.

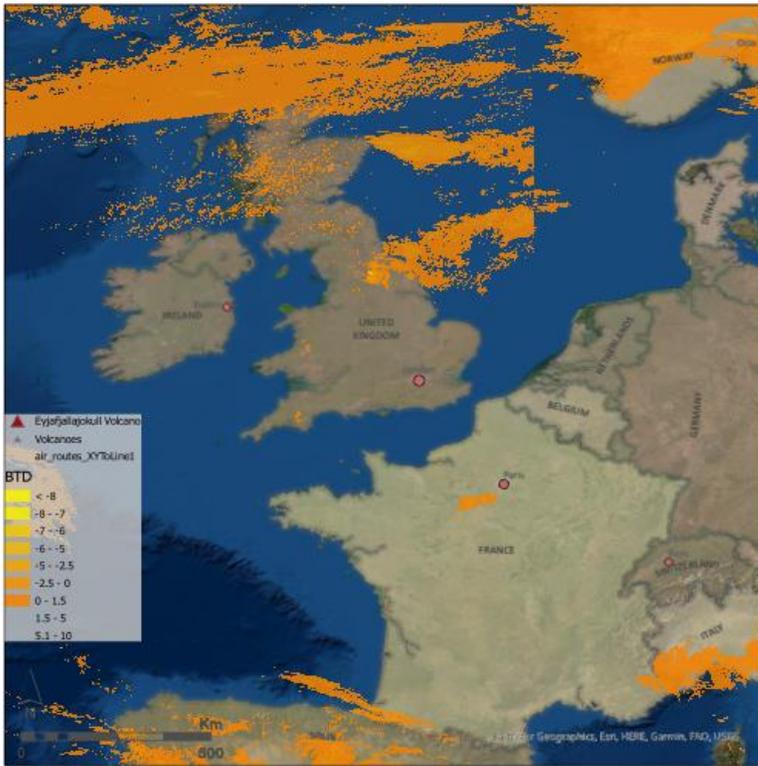


Figure 22. BTDR for the morning of April 17, 2020, zoomed in for comparison purposes.

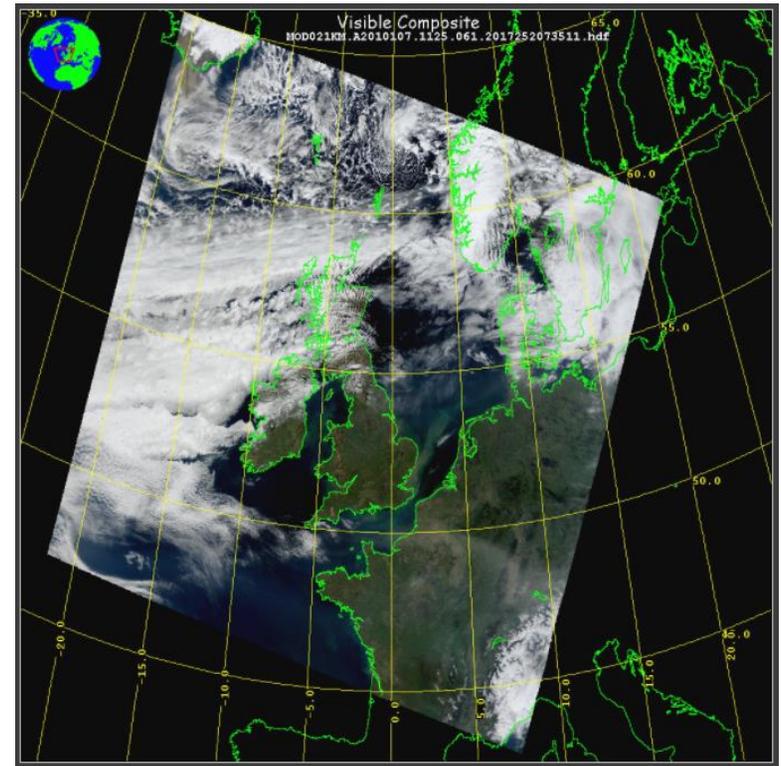


Figure 23. NASA MODIS color composite for the morning of April 17, 2010.

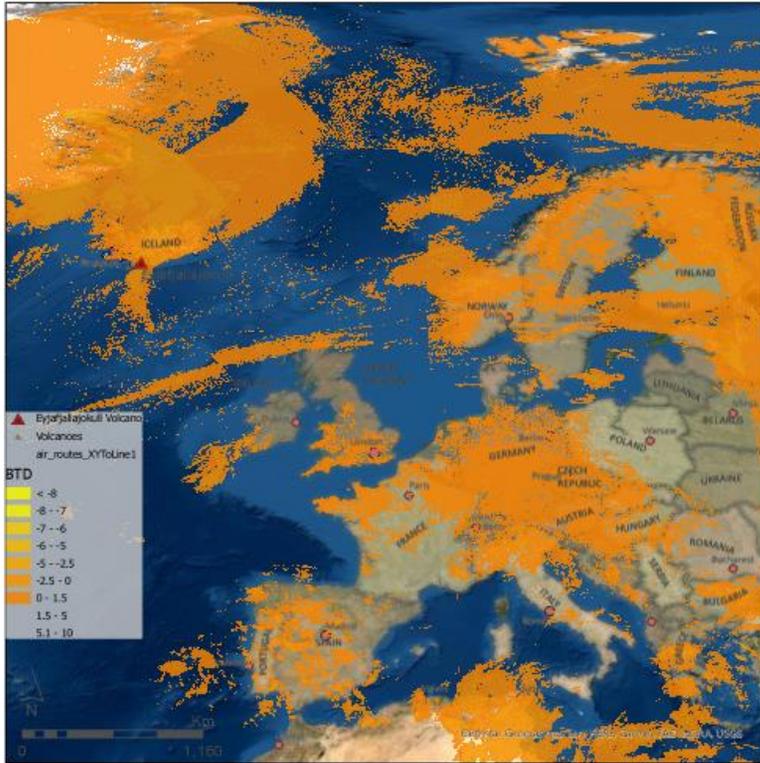


Figure 24. Brightness Temperature Difference for the evening of April 17, 2010.

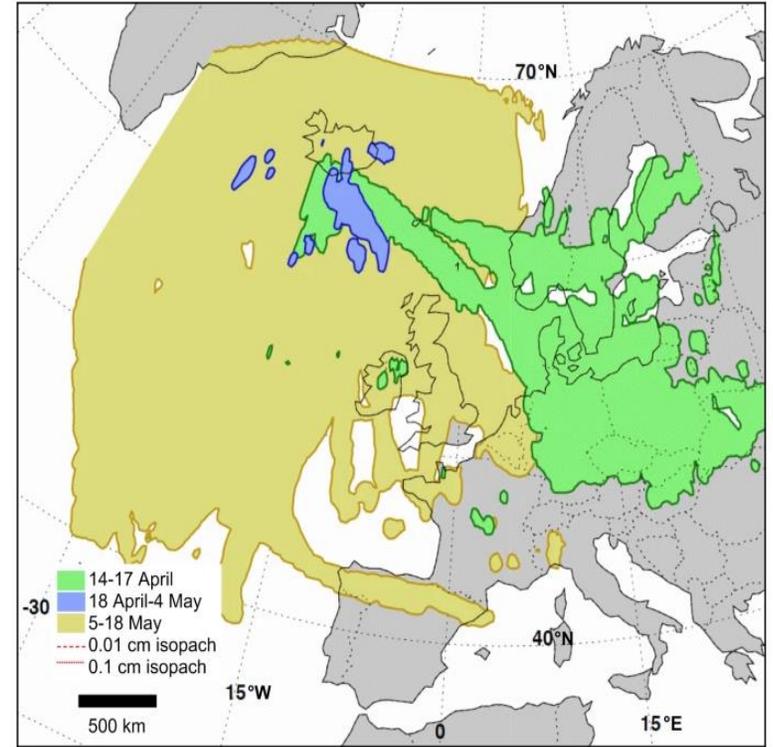


Figure 25. Ash cloud classifications from the SEVIRI instrument, from Gudmundsson et al. 2012

### **4.3. Flight Paths**

The European airspace was closed to most air traffic between April 15, 2010, and April 21, 2010 (Ulfarsson and Unger 2011). However, the MODIS BTM analysis shows that some airspace in southern Europe may have been able to remain open until the afternoon of April 17, 2010, and this is indicated in Figure 26, where thin, light-blue lines indicate flight paths between international airports. As the conditions were rapidly changing, the airspace would have needed to be closed as higher ash concentrations moved across central Europe into more southern regions.

Air travel restrictions were lifted on April 21, 2010. As the BTM results for this day show, ash was widely dispersed across the entire study area. Even though this would seem to preclude any flight paths from being open, the BTM method does not provide enough information on ash concentration to determine if the ash present was below the  $200 \mu\text{g}/\text{m}^3$  density limit for most aircraft to operate safely.

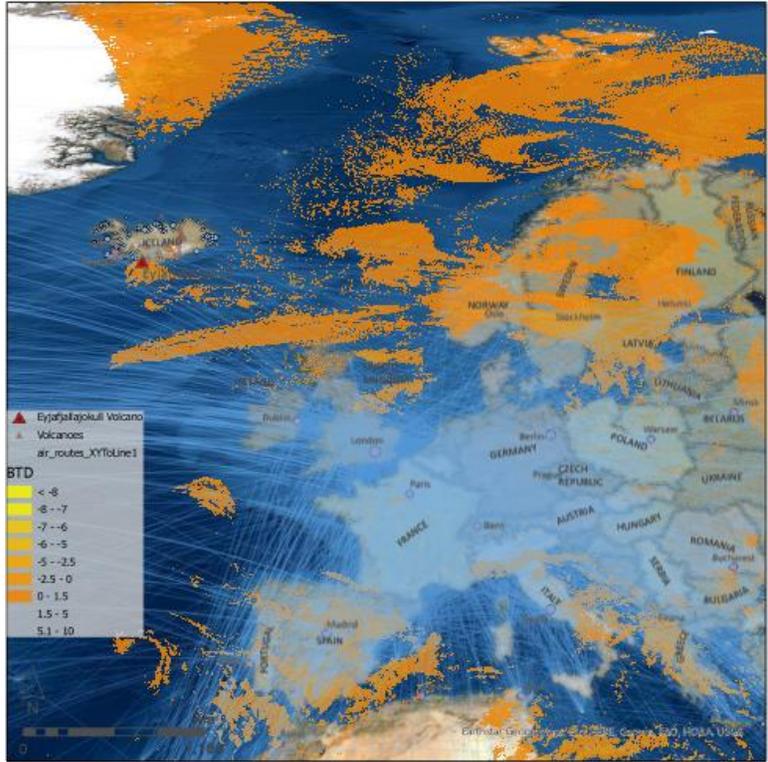


Figure 26. Air routes across Europe along with the BTDA ash signal on the morning of April 17, 2010.

## Chapter 5 Discussion

This study's objective was to determine how well an interpolation based on a set of sparse ground-based Lidar stations can estimate the spatial distribution of a volcanic ash cloud in comparison to the brightness temperature difference computed from MODIS satellite imagery. This study's additional goal was to provide insight to airline managers and government officials and help them determine when to institute air route suspensions.

This chapter addresses the implications of this project, along with the challenges and limitations of the methods used. This research's future is also discussed with an in-depth discussion of the methods necessary to extend this project.

### 5.1. Discussion

Determining the extent and attributes of a volcanic ash cloud is vital to the modern world of avionics and natural disaster risk mitigation. However, it is not an uncomplicated assessment to make. There are several ways in which ash clouds can be monitored, and the two methods examined here represent only a subset of observational possibilities.

The results of this study were threefold. First, the EARLINET Lidar data's daily interpolations present snapshots of the variability in the spatial distribution of ash cloud thickness. This method generates a regional picture of what might be happening between sparse measurement points.

A ground-based Lidar network's ability in studying air quality may appeal to governments with limited funds for the dual use of monitoring environmental quality and natural disaster hazard mitigation. However, operating a network of this type requires many highly-skilled and knowledgeable people to maintain the equipment. Additional people and computing

resources would be required to process and analyze the backscatter data and then to build the spatial interpolations.

Next, the brightness temperature difference method allows for a high resolution (1 km) examination of the ash cloud and its dispersal over several days. This method separates ash particles' thermal signal from that of water vapor, which allows the spatial distribution of ash to be determined even when there is significant cloud cover.

Last, the comparison of flight paths with the spatial distribution of ash provides some insight into when it may be necessary to institute air space restrictions. It is always correct to make a more prudent judgment when lives are at stake. The BTD analysis results show that the spatial distribution of ash was limited to Northern Europe until the afternoon of April 17, 2010, when conditions changed suddenly over Germany and France. While some aircraft may have been able to fly based on the BTD results, the daily interpolations indicated an earlier extent of ash into this region.

## **5.2. Limitations**

Both methods presented here have produced useful and insightful results. Neither method is flawless, and both have issues that require further study. This section examines the challenges and limitations encountered in this study.

### *5.2.1. EARLINET Interpolations*

The EARLINET data was straightforward to access and use for interpolation. The relative ease of acquiring the database and generating an interpolated raster of ash cloud thickness belies the analysis required to produce such a database. The EARLINET project relies on each Lidar station's managers to process the raw data into backscatter data through their Single Calculus Chain algorithm. Researchers with the EARLINET project then analyzed these

backscatter results to determine the altitude of the ash cloud. While this is convenient for the end-user, attributes such as particle density and the atmosphere's optical thickness are not reported.

In this study, the daily mean of the ash cloud thickness was used for the IDW interpolation. This was done because the number of observations and observation times did not align between sites. Additionally, not all sites within the network recorded data for the entire duration of the eruptive event. This makes the EARLINET database more challenging to analyze and maybe a design consideration for other Lidar networks.

### *5.2.2. MODIS and BTM*

Although the BTM analysis results were striking and insightful compared to the EARLINET interpolation, this represented the most challenging portion of the project. The preparation of only three days of data required processing more than 120 scene files over three bands and two software platforms. This represents a significant computing challenge that may be better served by the development of custom software to facilitate processing individual scenes into a mosaic.

The brightness temperature difference was a straightforward process but did not always produce results that are easily understood. While the BTM process successfully separated the ash and water vapor signals, there were still areas classified as ash that were water vapor clouds or even ice, such as over parts of Greenland. It is unclear why this method produced ambiguous results in certain areas. It is also unclear why this method entirely failed for April 24, 2010. In this project, the study area was large, which may contribute to the BTM method's ambiguous results.

### 5.3. Future Research

The results presented here represent a small subset of what is necessary to generate a full hazard analysis of an eruptive event. While the spatial distribution of an ash cloud is essential to understanding what areas might be impacted, the ash cloud's density determines the amount of risk it poses. The next steps in this research would be to calculate the ash cloud thickness and density and ultimately estimate the volume of erupted material.

#### 5.3.1. Ash Cloud Thickness

While ash cloud thickness was the primary data available from ground-based Lidar, a full aviation hazard assessment would need observations in areas, such as over the oceans, where it is impractical to place ground-based Lidar systems. Determining the thickness of the ash cloud is less straightforward than determining the BTD. Dubuisson et al. (2014) suggest that the thickness of the ash layer,  $L$ , can be obtained for each pixel using Equation 7 for the optical thickness,  $\tau_a$ , as a function of the extinction efficiency,  $Q_{ext}$ , the particle size distribution,  $n(r)$ , and the particle radius,  $r$ .

$$\tau_a(\lambda) = \pi L \int_0^{\infty} r^2 Q_{ext}(\lambda, r) n(r) dr \quad (7)$$

Several of the parameters in equation 5 may be estimated as a priori values to provide an estimate of the ash layer thickness,  $L$ , at each pixel. This method would require the MODIS aerosol optical depth as an additional data product. The thickness could then be used to calculate both the Eruptive Volume and the mass loading in the next two sections.

#### 5.3.2. Eruptive Volume

Once the ash cloud classification is complete and the ash cloud thickness,  $L$ , is calculated for each pixel, it is straightforward to find the volume of each pixel by multiplying the pixel area

by the ash layer thickness. The eruptive volume of ash is then the sum of volume for each pixel. In addition to being useful to a hazard assessment, this measurement is useful to geologists and for determining the volcanic explosivity index of an eruptive event.

### 5.3.3. Ash Cloud Density Contours

Ash cloud density is what produces the most risk to people, air quality, and aircraft. The density of ash particles within the ash cloud can be determined using the equation for mass loading,  $M$ , and the layer thickness,  $L$ , for each pixel (Equation 8):

$$M = \frac{4}{3} \pi \rho r_e^3 \frac{\tau_a}{k_e L} \quad (8)$$

where  $\rho$  ( $\text{kg m}^{-3}$ ) is the density of the rock from which the ash was created,  $r_e$  is the effective radius of each particle, and  $k_e$  the extinction coefficient in meters. As the ash cloud's density is the mass per unit volume, the density per pixel could be found from the pixel volumes.

## 5.4. Conclusions

This project achieved its objectives of determining the efficacy of using interpolated ground-based Lidar for determining the risk caused by explosive volcanic eruptions. These daily interpolations were compared with high resolution, thermal satellite imagery analysis, and found to be comparable. The spatial analysis results of the ash cloud distribution were considered in relation to its effects on air travel and risk mitigation by airline managers.

In the future, the analysis would include automation of the satellite image processing steps. Future goals would include expanding the project to include estimates of the ash cloud density, thickness, and eruptive volume. With increasing computing power and automation, classification methods using freely available MODIS imagery become economical choices for developing regions. A single, highly trained individual would be capable of generating an ash

classification useful in making airspace risk assessments. Ground-based Lidar networks are capable of producing comparable interpolations of the spatial distribution of an ash cloud. Without such a network already in place, it is not an economical choice even when processing satellite imagery challenges are considered.

Explosive volcanic eruptions represent significant risks that must be mitigated by countries and airline managers around the world, even in locations far from a volcano. This work may provide some insight and may be useful to natural hazard risk managers in developing countries.

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## Appendix: EARLINET Sites Active By Day

Day	Site	Hours Operational	Number of Observations
<b>April 16, 2010</b>	Belsk	8	4
	Cabauw	9.5	10
	Hamburg	20	20
	Leipzig	20	14
	Minsk	4	3
	Munich	8.5	6
	Neuchatel	16	2
	Payerne	19	18
	Palaiseau	17	17
<b>April 17, 2010</b>	Belsk	11	7
	Cabauw	2	2
	Hamburg	24	24
	Leipzig	9	7
	Minsk	3	3
	Munich	22	19
	Neuchatel	21	3
	Payerne	24	40
	Palaiseau	24	24
<b>April 18, 2010</b>	Belsk	16	7
	Bucharest	13	5
	Cabauw	8	7
	Hamburg	24	24
	Leipzig	14	18
	Munich	22.5	9
	Neuchatel	19	3
	Payerne	11	20
	Palaiseau	24	24
<b>April 19, 2010</b>	Cabauw	1	1
	Hamburg	24	24
	Ispra	12	12
	L'Aquila	2	2
	Leipzig	18	21
	Minsk	1	1
	Munich	23.5	9
	Neuchatel	19	6
	Palaiseau	16	16
	Potenza	0.5	1

<b>Day</b>	<b>Site</b>	<b>Hours Operational</b>	<b>Number of Observations</b>
<b>April 20, 2010</b>	Belsk	4	3
	Cabauw	4	3
	Hamburg	24	7
	Ispra	11	11
	L'Aquila	6	6
	Lecce	9	2
	Leipzig	16	15
	Minsk	6	2
	Munich	22.5	8
	Naples	14	11
	Palaiseau	15	15
Potenza	2	2	
<b>April 21, 2010</b>	Athens	8	7
	Belsk	1.5	2
	Bucharest	19	10
	Cabauw	10	10
	Cork	4	4
	Hamburg	24	5
	Ispra	8	8
	L'Aquila	24	9
	Lecce	24	13
	Leipzig	3.25	4
	Minsk	1	1
	Munich	24	9
	Naples	14	14
	Palaiseau	11	11
	Potenza	23	24
	Thessaloniki	9	9
<b>April 22, 2010</b>	Athens	15	13
	Cabauw	4	4
	Hamburg	24	23
	Ispra	4	3
	L'Aquila	3	3
	Lecce	12	12
	Leipzig	7.5	9
	Munich	23.5	21
	Naples	16	10
	Palaiseau	12	5
	Potenza	15	12
	Sofia	9	2
	Thessaloniki	12	12

<b>Day</b>	<b>Site</b>	<b>Hours Operational</b>	<b>Number of Observations</b>
<b>April 23, 2010</b>	Andenes	8	6
	Belsk	12	3
	Cabauw	10	11
	Hamburg	24	23
	Mink	1	1
	Munich	23.5	21
	Palaiseau	24	24
	Thessaloniki	6	6
<b>April 24, 2010</b>	Belsk	0.5	1
	Cabauw	19	5
	Hamburg	17	15
	Ispra	2	2
	Munich	23.5	21
	Palaiseau	8	8
<b>April 25, 2010</b>	Belsk	1	1
	Munich	13	4